

The Navigatio Fund

OEIC Application Form

Please complete in **BLOCK CAPITALS**, using **BLUE** or **BLACK INK** and **RETURN TO** your Authorised Financial Adviser, or to:
Premier Portfolio Managers Limited, PO Box 3733, Wootton Bassett, Swindon SN4 4BG.

Please make all **CHEQUES PAYABLE** to: Premier Portfolio Managers Limited.

For Direct Investment Only. If you wish to open an Individual Savings Account (ISA), please complete The Navigatio Fund ISA Application Form.

1. Personal Details - It is possible to have up to 4 joint applicants

If you are an existing Premier account holder, please quote your reference number:

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1st Applicant/Trustee Title: _____

First name(s) in full: _____

Surname (family name): _____

Permanent residential address: _____

Postcode: _____

Date of birth (DD/MM/YYYY):

D	D	M	M	Y	Y	Y	Y
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 Email address: _____

Phone numbers (Inc STD code): Daytime: _____ Evening: _____

Personal Identifier: Place and country of birth: _____

Please provide your own personal security question and answer which will be required each time you telephone for information on your account.

(for example "what was the name of my first school", "what is my mother's first name", "What was the name of my first pet" or "What was the name of my favourite childhood friend?")

Security question: _____

Security answer: _____

2nd Applicant/Trustee Title: _____

First name(s) in full: _____

Surname (family name): _____

Permanent residential address: _____

Postcode: _____

Date of birth (DD/MM/YYYY):

D	D	M	M	Y	Y	Y	Y
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 Email address: _____

Phone numbers (Inc STD code): Daytime: _____ Evening: _____

3rd Applicant/Trustee Title: _____

First name(s) in full: _____

Surname (family name): _____

Permanent residential address: _____

Postcode: _____

Date of birth (DD/MM/YYYY):

D	D	M	M	Y	Y	Y	Y
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 Email address: _____

Phone numbers (Inc STD code): Daytime: _____ Evening: _____

4th Applicant/Trustee Title: _____

First name(s) in full: _____

Surname (family name): _____

Permanent residential address: _____

Postcode: _____

Date of birth (DD/MM/YYYY):

D	D	M	M	Y	Y	Y	Y
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 Email address: _____

Phone numbers (Inc STD code): Daytime: _____ Evening: _____

Trust Name/Designation

Trust Name or Designation if appropriate (maximum 15 characters)

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Purchases for children must be registered in the name of an adult designated with the name of the child.

2. Applicant Identity Verification

Money Laundering Regulations 2007:

Under these regulations there is a legal requirement to prove the identity of people who wish to make an investment. You may therefore be asked for some evidence of your identity and date of birth. This will normally be a passport or similar form of identity check together with proof of address from a recent utility bill (not a mobile telephone bill). Alternatively, electronic checking systems may be used to verify identity, including credit agencies which may keep a record of this information although this is only to verify your identity and will not affect your credit rating. The information you provide on your application form (or subsequently) will be held and processed by Premier Portfolio Managers Limited (a subsidiary of Premier Asset Management Limited) as data controller for the purposes of the Data Protection Act 1998.

Do you have an Authorised Financial Adviser?

Yes, your Authorised Financial Adviser should complete section 9. No, please complete the following Applicant Identity Verification section.

If you are investing directly without the services of a UK Authorised Financial Adviser we will need to verify your identity. We need to see certified copies* of either your current passport or full driving licence, and a recent (within the last three months) bank statement or utility bill (not a mobile telephone bill, copies printed off the internet cannot be accepted) showing your current address.

This applies to every applicant named in section 1. *copies must be certified by either a solicitor, accountant, or your bank/building society manager.

Please tick both boxes below to confirm that the relevant identity check documents are enclosed.

Proof of identity: Copy of passport **or** full driving licence (If you **do not** have a passport/full driving licence, please contact us on 0845 6056363 to discuss other documentation which may be acceptable as proof of identity.)
Proof of address: Bank statement **or** utility bill

If certified copies are included with this application pack, please complete the following details for the person(s) certifying the documents (continue on a separate sheet if necessary):

1st Applicant/Trustee

Certified by: Name: _____
Address: _____
Postcode: _____
Occupation: _____
Telephone number: _____

2nd Applicant/Trustee

Certified by: Name: _____
Address: _____
Postcode: _____
Occupation: _____
Telephone number: _____

3rd Applicant/Trustee

Certified by: Name: _____
Address: _____
Postcode: _____
Occupation: _____
Telephone number: _____

4th Applicant/Trustee

Certified by: Name: _____
Address: _____
Postcode: _____
Occupation: _____
Telephone number: _____

3. Fund Choice

Please choose which Funds you wish to invest in and insert an amount in the appropriate box(es) below. You can invest with a lump sum amount. For GBP only; you can also invest via monthly contributions.

Minimum investment: £1,000/€1,500 lump sum, £100 monthly per Fund.

	Lump Sum	Monthly*
Navigatio Euro Balanced Fund - Accumulation Shares (£)	£	£
Navigatio Euro Conservative Fund - Accumulation Shares (£)	£	£
Total	£	£

	Lump Sum
Navigatio Euro Balanced Fund - Accumulation Shares (€)	€
Navigatio Euro Conservative Fund - Accumulation Shares (€)	€
Total	€

*Monthly contributions must be rounded in £10 amounts. If you have chosen monthly contributions, please complete the direct debit instructions in section 6 and ensure that a cheque from the same account, made payable to Premier Portfolio Managers Limited, is submitted with this application form to represent the first contribution. **Monthly contributions in Euros is not available.** Contributions will be collected from your bank account on the first working day of the month.

Accumulation Shares: Your share of the net income of the Fund is automatically reinvested on your behalf at a minimal cost. The amount of the reinvested income is reflected in the increased price of each accumulation share.

4. Income Withdrawal by Share Encashment

You can choose to receive a monthly cash amount by encashing some of the shares in your investment. Please ensure you complete your bank account details in section 5. Please choose where you want your cash withdrawn from and insert the amount in the appropriate box(es) below (minimum £50 per Fund and per investment option, per month to the nearest whole pound). **Monthly withdrawals in Euros is not available. Please bear in mind that the higher level of cash withdrawal, the greater the risk of capital erosion.**

	Monthly income withdrawal
Nevis Conservative Portfolio - Accumulation Shares	£
Nevis Conservative Portfolio - Income Shares	£
Total	£

7. The Declaration and Authority

This application form, along with the relevant Simplified Prospectus, forms the agreement upon which we intend to rely. For your own benefit and protection, you should read these terms carefully before signing them. If you do not understand any point, please ask for further information.

I/We apply to make the investment(s) in the fund(s) specified. I/We enclose a cheque(s) for the amount(s) stated overleaf made payable to Premier Portfolio Managers Limited.

Data Protection Act 1998:

I agree to the following:

- The information you provide on your application form (or subsequently) will be held and processed by Premier Portfolio Managers Limited (a subsidiary of Premier Asset Management Limited) as data controller for the purposes of the Data Protection Act 1998.
- We may hold and process information for the administration of the service(s) for which you are currently applying or may apply for in future, for the operation of your investment in units or shares (including e.g. for registration and distribution purposes), for the purposes of statistical analysis, and the marketing of goods or services by this company or other companies in the Premier Asset Management Marketing Group.
- If you do not want your personal data to be used for marketing purposes, please tick this box.
- We may transfer information to other companies in the Premier Asset Management Marketing Group and to third party agents of such companies or of this company for any of the above purposes.
- Where a financial adviser acts on your behalf, we will disclose information concerning your investment to that financial adviser.
- Save as noted above, we will not provide to any other third party any information relating to you, unless you have given your consent or unless we are required to do so by law.
- You are entitled to request details of information we may hold about you upon payment of a fee and to require us to correct any inaccuracies in your personal data.

Declaration for Trustees:

We appoint Premier Portfolio Managers Limited under the power and authority given to us by the Trust Deed and we delegate to Premier Portfolio Managers Limited the investment of the Trust property (and authorise them to sub-delegate) delivered to Premier Portfolio Managers Limited from time to time on the terms described in the Composite Simplified Prospectus Document. We hereby represent and warrant that we are empowered by the said Trust Deed to delegate our function in the manner described above.

1st Applicant/Trustee Signature:

Date:

2nd Applicant/Trustee Signature:

Date:

3rd Applicant/Trustee Signature:

Date:

4th Applicant/Trustee Signature:

Date:

We may only accept Powers of Attorney (original or certified copy) due to physical or mental incapacity. For physical incapacity it must be accompanied by a written declaration by the person signing the application. A draft declaration can be obtained from Premier Portfolio Managers Limited. In the case of mental incapacity, the Power of Attorney must be registered and stamped by the Court of Protection.

If the application is for a trust investment, we may need to verify the identity of all trustees, depending on the Trust Deed. Please enclose the Trust Deed with this application.

8. Financial Adviser Details - to be completed by your Financial Adviser

Financial Adviser Stamp

FSA number: _____

Special Commissions Terms (if applicable): _____

Please indicate if this business was:

advised non-advised

Financial Advisers please complete the following section for all applicants (please copy this page if necessary before completing)

First Applicant: Date of commencement of business relationship (DD/MM/YYYY):

I certify that: (please tick as appropriate)

- 1.** I have verified the identity of the Applicant in accordance with the Money Laundering Regulations 2007 and confirm that documentary evidence has been obtained and identity checks have been undertaken to confirm that the Applicant's name, address and date of birth as shown in section 1 are correct AND the details of the underlying records of identity are as described below (document name + detail + date e.g. driving licence SMITH625085JT4EG, electricity bill 30.11.07 cus ref 1245678):

Certified Copy Attached

Proof of identity: _____

Proof of residency: _____

Proof of date of birth: _____

OR

- 2.** I have not verified the identity of the Applicant for the following reason: _____
- 3.** I confirm that the Applicant is applying on his/her own behalf and not as nominee, trustee or in a fiduciary capacity for any other person.

Signature: _____ Date (DD/MM/YYYY):

Financial Adviser/Consultant name: _____

Company name: _____

Further Applicant(s): Date of commencement of business relationship (DD/MM/YYYY):

I certify that: (please tick as appropriate)

- 1.** I have verified the identity of the Applicant in accordance with the Money Laundering Regulations 2007 and confirm that documentary evidence has been obtained and identity checks have been undertaken to confirm that the Applicant's name, address and date of birth as shown in section 1 are correct AND the details of the underlying records of identity are as described below (document name + detail + date e.g. driving licence SMITH625085JT4EG, electricity bill 30.11.07 cus ref 1245678):

Certified Copy Attached

Proof of identity: _____

Proof of residency: _____

Proof of date of birth: _____

OR

- 2.** I have not verified the identity of the Applicant for the following reason: _____
- 3.** I confirm that the Applicant is applying on his/her own behalf and not as nominee, trustee or in a fiduciary capacity for any other person.

Signature: _____ Date (DD/MM/YYYY):

Financial Adviser/Consultant name: _____

Company name: _____

ADMINISTRATION QUERIES

For any administration queries, you can contact us at:

Premier Portfolio Managers Limited, PO Box 3733, Wootton Bassett, Swindon SN4 4BG.

Tel: 0845 605 6363 Fax: 0207 982 3924 Email: premier@premierfunds.co.uk Web: www.premierassetmanagement.co.uk

Premier Portfolio Managers Limited and Premier Fund Managers Limited are authorised and regulated by the Financial Services Authority of 25 The North Colonnade, Canary Wharf, London E14 5HS and are members of the Premier Asset Management Marketing Group. September 2011.